FORM PTO-1390 U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. TRANSMITTAL LETTER TO THE UNITED STATES 3165-138 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. Application No. (if known) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/553,153 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. April 14, 2003 PCT/EP2004/003873 April 13, 2004 TITLE OF INVENTION AN AQUEOUS, FLOWABLE CONCENTRATE COMPOSITION OF PENDIMETHALIN APPLICANT(S) FOR DO/EO/US Andrew GOLDSMITH Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: [ ] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. [ ] The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [ ] is attached hereto. } has been previously submitted under 35 U.S.C. 154(d)(4). 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) ] are attached hereto (required only if not communicated by the International Bureau). ] have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination 10. [ Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A third preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. Other items or information:  21. The following fees are submitted:    Basic National Fee			INTERNATIONAL APPLICATION NO. PCT/EP2004/003873		ATTORNEY DOCKET NO. 3165-138		
Basic National Fee							
It the written opinion prepared by ISAUS or the IPER prepared by IPEAUS indicates all claims satisfy provisions of PCT Article 33(1)-(4)	21. The following fees are submitted:						
If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)/40	If the written opinion pre	\$					
Additional fee for specification and drawings filed in paper over 100  (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof  Rate  -100 = 1/50 = x.250  \$  Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).  Number Filed  Number Extra  Rate  Total Claims  15 - 20 = x.500.00  \$  Independent Claims  1 - 3 = x.500.00  \$  TOTAL OF ABOVE CALCULATIONS = \$  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	If the written opinion of t satisfy provisions Search fee (37 CFR 1.4 to the USPTO as International Search Re or previously com	\$					
(excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Fale  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof  Rate  -100 =		\$					
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30 months from the earliest claimed priority date (37 CFR 1.492(h)):  Claims  Number Filed  Number Extra  Rate  Total Claims  15 -20 =	- 100 =	/ 50 =		x 250	\$		
Claims   Filed   Number Extra   Rate    Total Claims   15 - 20 =   X \$50.00   \$    Independent Claims   1 - 3 =   X \$200.00   \$    Multiple dependent claim(s) (if applicable)   + \$360.00   \$    Applicant claims small entity status. The fees indicated above are reduced by 1/2.   \$    Applicant claims small entity status. The fees indicated above are reduced by 1/2.   \$    Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   + \$    TOTAL NATIONAL FEE   \$    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   + \$    TOTAL FEES ENCLOSED   \$    Amount to be refunded   \$		\$					
Independent Claims 1 - 3 = X \$200.00 \$  Multiple dependent claim(s) (if applicable) +\$360.00 \$  TOTAL OF ABOVE CALCULATIONS = \$  Applicant claims small entity status. The fees indicated above are reduced by 1/2.  SUBTOTAL = \$  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(ff)). +  TOTAL NATIONAL FEE = \$  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded \$	Claims		Number Extra	Rate			
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Application charged					Amount to be New		

U.S. APPLICATION NO. (# known) 10/553,153	INTERNATIONAL APPLICATION NO. PCT/EP2004/003873	ATTORNEY DOCKET NO. 3165-138			
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 02-2135 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:					
Customer No. 06449		Signature			
Rothwell, Figg, Ernst & Manbeck		Robert B. Murray			
1425 K St., N.W.		Name			
Washington, D.C. 20005		22,980			
Phone: 202/783-6040 Registration Number					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/553,153

Applicant

: Andrew GOLDSMITH

Filed

: February 7, 2006

TC/A.U.

Examiner

Docket No. : 3165-138

Customer No.: 6449

Confirmation No.:

## THIRD PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please enter the following amendments before calculation of the filing fee and examination of the merits.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 6 of this paper.